PRIVACY NOTICE

FACTS	What Does CreativeOne Wealth (“C1W”) Do with Your Personal Information?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect, and share depend on the product or service you have with us. This information can include: • Social Security Number and investment experience • Income and risk tolerance • Assets and account transactions When you are *no longer* our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons C1W chooses to share; and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR INFORMATION	Does C1W Share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For affiliates to market to you	No	We don’t share
For nonaffiliates to market to you	No	We don’t share
If your Financial Advisor leaves CreativeOne Wealth, we may allow them to take your non-public account information to continue providing you services at their new firm.	Yes	Yes

WHAT WE DO	
How does C1W protect my information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These include computer safeguards and secured files and building.
How does C1W collect my personal information?	We collect your personal information, for example, when you: • Open an account or give us your contact information • Seek advice about your investments or tell us about your investment or retirement portfolio • Enter into an investment advisory contract. We also collect your personal information from others, such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you. • Sharing for nonaffiliates to market to you. State laws and individual companies may give you additional rights to limit sharing.

DEFINITIONS	
Affiliates	Companies under common ownership or control. They can be financial and non-financial. • *Our affiliates include Creative One, LLC and CreativeOne Securities, LLC.*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and non-financial. • *C1W does not share with nonaffiliates so they can market to you.*
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. • *C1W does not jointly market.*
Questions or concerns about the information that may be shared.	**CALL (888) 798-2360 or go to www.creativeonewealth.com/privacy-policy**

Mail-In Form

☐ Please do not share my non-public account information if my Financial Advisor leaves CreativeOne Wealth to join a new advisory firm.

Name:____

Address:____

Mail To: CreativeOne Wealth
Attn: Compliance
6330 Sprint Pkwy, Suite 400,
Overland Park, KS 66211